

FSC® – CONTROLLED WOOD DUE DILIGENCE SYSTEM PUBLIC SUMMARY

Organization:

Resolute Forest Products Inc.
111 Duke Street, suite 5000
Montreal, Quebec, H3C 2M1

Prepared by:

Étienne Vézina
etienne.vezina@resolutefp.com
514.394.2379

Note: This public summary was prepared by the Organization prior to the audit to comply with requirements 6.1 and 6.2 of FSC-STD-40-005 V3-1. The information it contains has been verified by the audit team.

1 DUE DILIGENCE SYSTEM (DDS)

(This section was prepared by the organization prior to the audit and the information was verified by the audit team.)

1.1 Due Diligence System Development

The DDS was developed by:
<input checked="" type="checkbox"/> The organization
<input checked="" type="checkbox"/> An external party
Contact Information of the external party:
<p>The DDS was prepared by the organization as part of establishing procedures for its Canadian multi-site chain of custody. To achieve the risk analysis requirements of the DDS, the organization withheld services from external parties. Two risk analysis were completed, one for Northwestern Ontario and Northern Minnesota and the other for Quebec province (see 1.4 for more precision on the area). The consultant "KBM Resources Group" was selected to prepare the Ontario Supply Risk Analysis and the Quebec Forestry Industry Council, in partnership with the Quebec Wood Export Bureau (QWEB), worked on the risk analysis for Quebec's supplies.</p> <p>KBM Resources Group, 349 Mooney Avenue, Thunder Bay, Ontario, P7B 5L5, (807) 345-5445 http://www.kbm.ca/ CIFQ, 1175, avenue Lavigerie, Bureau 200, Québec, Québec, G1V 4P1, (418) 657-7916 http://www.cifq.com/ QWEB 979, avenue de Bourgogne, Bureau 540, Québec, Québec, G1W 2L4, (418) 650-6385 http://www.quebecwoodexport.com/fr/</p>

1.2 Description of changes made to the DDS since the last audit

<input checked="" type="checkbox"/> Check if non applicable (no changes were made since last audit)
Summary of changes made to the organization's DDS System (changes to suppliers structure, risk assessment, risk designation, mitigation/control measures, etc.):
The DDS is part of a new certification system, not previously audited.

1.3 Supply Chain Structure (per site)

Site name and ID: Atikokan sawmill	
i) Nb. of suppliers	77
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers types	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Château-Richer second transformation	
i) Nb. of suppliers	1
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmill (sawn wood)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Comtois sawmill	
i) Nb. of suppliers	6
ii) Nb. of sub-suppliers	Available upon request

iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Girardville sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Ignace sawmill	
i) Nb. of suppliers	77
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: La Doré sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Maniwaki sawmill	
i) Nb. of suppliers	11
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Mistassini sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Opiticiwan sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Outardes sawmill	
i) Nb. of suppliers	5
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: P.F. Mauricie sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Saint-Félicien sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Saint Thomas sawmill	
i) Nb. of suppliers	7
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Senneterre sawmill	
i) Nb. of suppliers	6
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Seneterre - Lac Clair sawmill	
i) Nb. of suppliers	6
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Thunder Bay sawmill	
i) Nb. of suppliers	77
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Forestry contractors
iv) Average length of non-FSC supply chain	1
v) Risk of mixing with non-eligible input	None

Site name and ID: Alma Pulp & Paper Mill	
i) Nb. of suppliers	8
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Amos Pulp & Paper Mill	
i) Nb. of suppliers	7

ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Baie-Comeau Pulp & Paper Mill	
i) Nb. of suppliers	4
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Clermont Pulp & Paper Mill	
i) Nb. of suppliers	6
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Dolbeau Pulp & Paper Mill	
i) Nb. of suppliers	3
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Gatineau Pulp & Paper Mill	
i) Nb. of suppliers	2
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Kénogami Pulp & Paper Mill	
i) Nb. of suppliers	5
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Saint Félicien Pulp & Paper Mill	
i) Nb. of suppliers	11
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2
v) Risk of mixing with non-eligible input	None

Site name and ID: Thunder Bay Pulp & Paper Mill	
i) Nb. of suppliers	38
ii) Nb. of sub-suppliers	Available upon request
iii) Suppliers type	Sawmills (chips)
iv) Average length of non-FSC supply chain	2

v) Risk of mixing with non-eligible input	None
---	------

1.4 Description of the Due Diligence System

Supply Area	Risk Designation	Risk Assessment
Quebec province Ecoregions NA0602, NA0605, NA0410, NA0407 and NA0406 as defined by the World Wildlife Fund. Central Canadian Shield forests (NA0602) Eastern Canadian forests (NA0605) New England-Acadian forests (NA0410) Eastern Great Lakes lowland forests (NA0407) Eastern forest-boreal transition (NA0406)	Cat 1: Low risk	CIFQ-QWEB Risk analysis Version 1.0 September 19, 2017
	Cat 2: Low risk	
	Cat 3: Low risk	
	Cat 4: Low risk	
	Cat 5: Low risk	
Northwestern Ontario and Northern Minnesota Ecoregions NA0602, NA0609 and NA0416 as defined by the World Wildlife Fund. Central Canadian Shield forests (NA0602) Midwestern Canadian Shield forests (NA0609) Western Great Lakes forests (NA0416).	Cat 1: Low risk	KBM Resources Group Risk Assessment Version 2.0 August 15, 2017
	Cat 2: Low risk	
	Cat 3: Low risk	
	Cat 4: Low risk	
	Cat 5: Low risk	

1.5 Procedure for filling complaints

Procedure for filling complaints
<p>The following procedures have been established. Please contact the person listed below if you have a comment or complaint.</p> <p>Due diligence system procedure: Multi-site chain of custody (SFI, PEFC et FSC) MTL-COC-P05</p> <p>Procedure for managing public requests and complaints: MTL-COC-P06</p>

1.6 Contact Person for Complaints

Contact information of the person responsible for addressing complaints	
Name:	Étienne Vézina
Position:	Coordinator, Product Certification and Traceability
Address:	111, Duke Street, Suite 5000, Montreal, Quebec H3C 2M1

Phone:	514.394.2379
Email:	etienne.vezina@resolutefp.com

1.7 Control Measures Implemented

<input checked="" type="checkbox"/> Not applicable (All sourcing areas are low risk and risk of mixing is low)	
Risk Category	Control measures
1) Illegally harvested wood	
2) Wood harvested in violation of traditional and human rights	
3) Wood harvested from forest in which HCV are threatened by management activities	
4) Wood harvested in forest being converted to plantations or non-forest uses	
5) Wood from forest in which genetically modified trees are planted	
6) Risk of mixing in supply chain	

1.8 Summary of Stakeholder Consultation Process

<input checked="" type="checkbox"/> Not applicable (Stakeholders consultation is not required as a control measure)

1.9 Engagement of Experts by the Organization for Risk Assessment and Control Measures

<input checked="" type="checkbox"/> Not applicable (The organization's own control measures do not require the engagement of experts. See FSC-STD-40-005 V3 requirement 4.9)			
Name	Qualification	License/Registration	Scope of service

1.10 Field Verification by the Organization – Summary of Findings

<input checked="" type="checkbox"/> Not applicable (The organization's control measures do not require field verification)	
Justification for sampling rate:	

Summary of findings:	
Steps taken to address identified non-conformities:	
Justification for exclusion of confidential information:	

1.11 Field Verification of Suppliers by the Organization – Summary of Findings

<input checked="" type="checkbox"/> Not applicable (The organization's control measures do not require field verification of suppliers)	
Justification for sampling rate:	
Summary of findings:	
Steps taken to address identified non-conformities:	
Justification for exclusion of confidential information:	

1.12 Extension request for using approved FSC risk assessments

<input checked="" type="checkbox"/> Check if non-applicable. (The organization has not requested an extension of the 6 months deadline)	
Country:	
FSC Risk Assessment approval date:	
6 month timeline deadline:	
New deadline (up to 2 months extension):	
Circumstance beyond the control of the organization:	
Approved by (SAI Global Technical Manager):	

Annex A: Company Risk Assessment

IMPORTANT: Full risk assessment must be provided to the lead auditor as a standalone file. Summary are no longer accepted. The risk assessment will be published on the FSC Database once SAI Global approves it.